

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jeffry Jovan Philyaw

Serial No.:

Filed: February 3, 2000

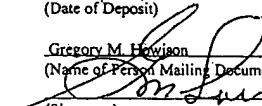
Group:

Examiner:

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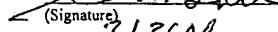
2/3/00

(Date of Deposit)



Gregory M. Howison

(Name of Person Mailing Document)



(Signature)

2/3/00

(Date of Signature)

For: METHOD AND APPARATUS FOR ALLOWING A REMOTE SITE TO INTERACT  
WITH AN INTERMEDIATE DATABASE TO FACILITATE ACCESS TO THE  
REMOTE SITE

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty to disclose all relevant material, the Applicants list below the following references believed to be relevant to the subject matter of the above-identified application.

U.S. PATENTS

PATENT NO.

3,668,312

4,042,792

4,621,259

4,654,482

INVENTOR

Yamamoto et al.

Pakenham, et al.

Schepers et al.

DeAngelis

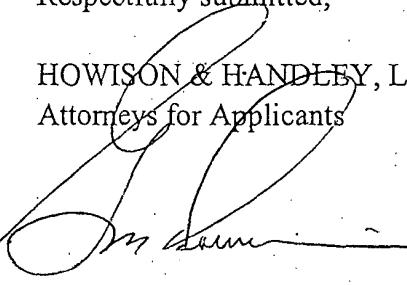
4,816,904	McKenna et al.
4,817,136	Rhoads
4,841,132	Kajitani et al.
4,894,789	Yee
4,899,370	Kameo, et al.
4,905,094	Pocock, et al.
4,907,264	Seiler, et al.
4,937,853	Brule, et al.
4,947,028	Gorog
4,975,948	Andresen, et al.
4,984,155	Geier, et al.
5,128,752	Von Kohorn
5,144,654	Kelley, et al.
5,247,347	Litteral et al.
5,262,860	Fitzpatrick et al.
5,285,278	Holman
5,287,181	Holman
5,305,195	Murphy
5,319,454	Schutte
5,357,276	Banker et al.
5,438,355	Palmer
5,446,490	Blahut et al.
5,446,919	Wilkins
5,570,295	Isenberg et al.
5,604,542	Dedrick
5,640,193	Wellner
5,664,110	Green, et al.

5,708,780	Levergood et al.
5,715,314	Payne et al.
5,724,424	Gifford
5,761,606	Wolzien
5,768,528	Stumm
5,774,870	Storey
5,790,793	Higley
5,794,210	Goldhaber et al.
5,905,251	Knowles
5,907,793	Reams
5,933,829	Durst et al.

Please charge any additional fees or deficiencies in fees or credit any overpayment to Deposit  
Account No. 20-0780/PHLY-24,909 of HOWISON & HANDLEY, L.L.P.

Respectfully submitted,

HOWISON & HANDLEY, L.L.P.  
Attorneys for Applicants

  
Gregory M. Howison  
Registration No. 30,646

GMH/svp  
P.O. Box 741715  
Dallas, Texas 75374-1715  
972/479-0462  
February 3, 2000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jeffry Jovan Philyaw

Pending Patent Application:

Serial No.: To Be Assigned  
Filed: September 16, 2003  
Group: 2761  
Examiner: Moustafa M. Meky  
For: METHOD AND APPARATUS FOR ALLOWING A REMOTE  
SITE TO INTERACT WITH AN INTERMEDIATE DATABASE  
TO FACILITATE ACCESS TO THE REMOTE SITE

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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Alexandria, VA 22313-1450 on: September 16, 2003.

\_\_\_\_\_  
Gregory M. Howison  
(Name of Person Mailing Document)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date of Signature)

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Forms PTO-1449 listing information for consideration by the Office in connection with its examination of the above-captioned patent application. The prior art listed on the attached forms was considered by the Examiner in charge of the prior pending application, **Serial No. 09/497,252**, filed on 02/03/2000. Thus, copies of the references are not enclosed herewith.

**INFORMATION DISCLOSURE STATEMENT**

Atty. Dkt. No. PHLY 26,482  
S/N T Be Assigned

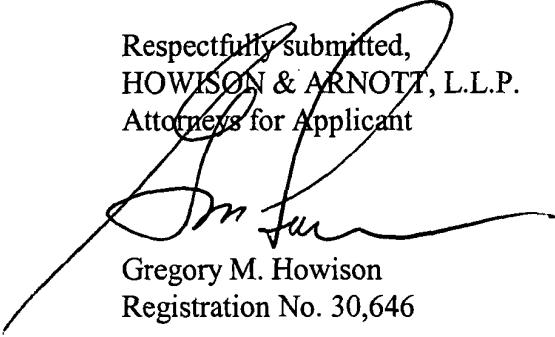
Attached please form SB-08 listing information for consideration by the Office. These references were cited by Examiner Moustafa M. Meky during the prosecution of U. S. Serial No. 09/497,252. Copies of these references are enclosed herewith.

Applicant submits that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relative pertinence. The listing on the attached Form SB-08 is not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art.

Applicant requests that the material identified above be considered during the prosecution of the captioned application, and that the material be identified among the "References Cited" on an patent issuing from the application.

Applicant respectfully submits that his invention is patentable over the enclosed documents listed on Form SB-08.

Respectfully submitted,  
HOWISON & ARNOTT, L.L.P.  
Attorneys for Applicant

  
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September 16, 2003

**INFORMATION DISCLOSURE STATEMENT**  
**Atty. Dkt. No. PHLY 26,482**  
**S/N To Be Assigned**

Page 2 of 2

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

Application Number	To Be Assigned
Filing Date	09/16/03
First Named Inventor	Philyaw
Art Unit	2153
Examiner Name	Moustafa Meky

Attorney Docket Number PHLY-26,482

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,918,214	06/1999	Perkowski	
		US- 6,061,719	05/2002	Bendinelli et al.	
		US- 6,418,441	07/2002	Call	
		US- 6,247,047	06/2001	Wolff	
		US- 6,199,048	3/2001	Hudetz et al.	
		US- 5,978,773	11/1999	Hudetz et al.	
		US- 6,154,738	11/2000	Call	
		US- 5,913,210	06/1999	Call	
		US- 6,014,634	01/2000	Scroggile et al.	
		US- 5,950,173	09/1999	Perkowski	
		US- 6,064,979	05/2000	Perkowski	
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/497,252
		Filing Date	2/3/00
		First Named Inventor	J. Philyaw
		Group Art Unit	2761
		Examiner Name	Not Yet Assigned
Sheet	1	of 1	Attorney Docket Number
			PHLY-24,909

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO. PHLY-24,909	SERIAL NO. 09/497,252
		APPLICANT Jeffry Jovan Philyaw	
		FILING DATE February 3, 2000	GROUP 2761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
1	4,002,886	01/11/77	Sundelin	235	61.7R	
2	4,365,148	12/21/82	Whitney	235	383	
3	4,780,599	10/25/88	Baus	235	383	
4	4,785,296	11/15/88	Tabata et al.	340	731	
5	4,833,308	05/23/89	Humble	235	383	
6	4,845,634	07/04/89	Vitek et al.	364	468	
7	4,901,073	02/13/90	Kibrick	341	13	
8	4,916,293	04/10/90	Cartlidge et al.	235	375	
9	4,959,530	09/25/90	O'Connor	235	383	
10	5,038,023	08/06/91	Saliga	235	385	
11	5,054,096	10/01/91	Beizer	382	41	
12	5,088,045	02/11/92	Shimanaka et al.	364	468	
13	5,111,391	05/05/92	Fields et al.	364	401	
14	5,115,326	05/19/92	Burgess et al.	358	440	
15	5,161,037	11/03/92	Saito	358	468	
16	5,161,214	11/03/92	Addink et al.	395	145	
17	5,182,705	01/26/93	Barr et al.	364	401	
18	5,189,630	02/23/93	Barstow et al.	364	514	
19	5,191,525	03/02/93	LeBrun et al.	364	419	
20	5,198,644	03/30/93	Pfeiffer et al.	235	383	
21	5,235,654	08/10/93	Anderson et al.	382	61	
22	5,241,402	08/31/93	Aboujaoude et al.	358	406	
23	5,243,531	09/07/93	DiPippo et al.	364	468	
24	5,288,976	02/22/94	Citron et al.	235	375	

	25	5,296,688	03/22/94	Hamilton et al.	235	375	
	26	5,304,786	04/19/94	Pavlidis et al.	235	462	
	27	5,324,922	06/28/94	Roberts	235	375	
	28	5,331,547	07/19/94	Laszlo	364	413.01	
	29	5,340,966	08/23/94	Morimoto	235	376	
	30	5,362,948	11/08/94	Morimoto	235	376	
	31	5,382,779	01/17/95	Gupta	235	383	
	32	5,386,298	01/31/95	Bronnenberg et al.	358	403	
	33	5,398,336	03/14/95	Tantry et al.	395	600	
	34	5,405,232	04/11/95	Lloyd et al.	414	280	
	35	5,418,713	05/23/95	Allen	364	403	
	36	5,420,403	05/30/95	Allum et al.	235	375	
	37	5,420,943	05/30/95	Mak	382	313	
	38	5,424,524	06/13/95	Ruppert et al.	235	462	
	39	5,491,508	02/13/96	Friedell et al.	348	16	
	40	5,493,107	02/20/96	Gupta et al.	235	383	
	41	5,519,878	05/21/96	Dolin, Jr.	395	800	
	42	5,530,852	06/25/96	Meske, Jr. et al.	396	600	
	43	5,572,643	11/05/96	Judson	395	793	
	44	5,592,551	01/07/97	Lett et al.	380	20	
	45	5,594,226	01/14/97	Steger	235	379	
	46	5,602,377	02/11/97	Beller et al.	235	462	
	47	5,649,186	07/15/97	Ferguson	395	610	
	48	5,671,282	09/23/97	Wolff et al.	380	25	
	49	5,675,721	10/07/97	Freedman et al.	395	129	
	50	5,682,540	10/28/97	Klotz, Jr. et al.	395	766	
	51	5,694,163	12/02/97	Harrison	348	13	
	52	5,710,887	01/20/98	Chelliah et al.	395	226	
	53	5,745,681	04/28/98	Levine et al.	395	200.3	
	54	5,754,906	05/19/98	Yoshida	396	448	
	55	5,757,917	05/26/98	Rose et al.	380	25	

	56	5,764,906	06/09/98	Edelstein et al.	395	200.49	
	57	5,765,176	06/09/98	Bloomberg	707	514	
	58	5,774,664	06/30/98	Hidary et al.	395	200	
	59	5,778,367	07/07/98	Wesinger, Jr. et al.	707	10	
	60	5,791,991	08/11/98	Small	463	41	
	61	5,796,952	08/18/98	Davis et al.	305	200.54	
	62	5,804,803	09/08/98	Cragun et al.	235	375	
	63	5,815,776	09/29/98	Nukada	399	174	
	64	5,832,223	11/03/98	Hara et al.	395	200.47	
	65	5,833,468	11/10/98	Guy et al.	434	350	
	66	5,848,202	12/08/98	D'Eri et al.	382	306	
	67	5,848,413	12/08/98	Wolff	707	10	
	68	5,854,897	12/29/98	Radziewicz et al.	709	224	
	69	5,864,823	01/26/99	Levitin	105	14	
	70	5,869,819	02/09/99	Knowles et al.	235	375	
	71	5,905,248	05/18/99	Russell et al.	235	462	
	72	5,905,665	05/18/99	Rim	364	746	
	73	5,905,865	05/18/99	Palmer et al.	395	200.47	
	74	5,913,210	06/15/99	Call	707	4	
	75	5,915,090	06/22/99	Joseph et al.	709	202	
	76	5,918,214	06/29/00	Perkowski	705	27	
	77	5,925,865	07/20/99	Steger	235	379	
	78	5,929,850	07/27/99	Broadwin et al.	345	327	
	79	5,932,863	08/03/99	Rathus et al.	235	462.15	
	80	5,948,061	09/07/99	Merriman et al.	709	219	
	81	5,957,695	09/28/99	Redford et al.	434	307R	
	82	5,960,411	09/28/99	Hartman et al.	705	26	
	83	5,961,603	10/05/99	Kunkel et al.	709	229	
	84	5,970,471	10/19/99	Hill	705	26	
	85	5,970,472	10/19/99	Allsop et al.	705	26	
	86	5,971,277	10/26/99	Cragun et al.	235	462.01	

87	5,974,443	10/26/99	Jeske	709	202	
88	5,974,451	10/26/99	Simmons	709	218	
89	5,976,833	11/02/99	Furukawa et al.	435	69.1	
90	5,978,773	11/02/99	Hudetz et al.	709	219	
91	5,991,739	11/23/99	Cupps et al.	705	26	
92	5,992,752	11/30/99	Wilz, Sr. et al.	235	472.01	
93	5,995,105	11/30/99	Reber et al.	345	356	
94	6,002,394	12/14/99	Schein et al.	345	327	
95	6,003,073	12/14/99	Solvason	709	219	
96	6,006,257	12/21/99	Slezak	709	219	
97	6,009,410	12/28/99	LeMole et al.	709	219	
98	6,009,465	12/28/99	Decker et al.	709	219	
99	6,012,102	01/04/00	Shachar	710	5	
100	6,018,764	01/25/00	Field et al.	709	217	
101	6,049,539	04/11/00	Lee et al.	370	355	
102	6,064,979	05/16/00	Perkowski	705	26	
103	6,108,656	08/22/00	Durst et al.	707	10	

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
F1	WO 95/10813	10/14/94		PCT	G06F	15/403	NO
F2	WO 96/07146	9/1/95		PCT	G06F	17/00	NO
F3	WO 97/37319	2/20/97		PCT	G06K	7/10	NO
F4	WO 98/03923	1/29/98		PCT	G06F	15/163	NO
F5	WO 98/06055	2/12/98		PCT	G06F	163/00	NO
F6	WO 98/09243	8/22/97		PCT	G06F	19/00	NO
F7	WO 98/19259	5/7/98		PCT	G06F	17/60	NO
F8	WO 98/40823	9/17/98		PCT	G06F	13/00	NO
F9	WO 99/63457	6/3/99		PCT	G06F	17/30	NO
F10	EP 0 961 250 A2	12/1/99		EP	G07F	19/00	NO
F11	JP 10188140A	12/24/96		JP	G07G	1/12	NO

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

	D1	"Group Decision Support System: Development and Application", Energy Systems, Westinghouse, Pittsburgh, PA
	D2	"New Technologies in Credit Card Authentication", Pieter de Bryne, Institute for Communications Technology, Zurich, Switzerland
	D3	"AVITAL, a Private Teaching System by Fax Communication", Atsusji Iizawa, Noriro Sugiki, Yukari Shitora and Hideko Kunii, Software Research Center, Tokyo, Japan
	D4	"Document on Computer" USPS Technical Support Center, Norman, OK
	D5	"Development of a Commercially Successful Wearable Data Collection System", Symbol Technologies, Inc.
	D6	"What do forward looking companies consider in their plans and developments?, A.G. Johnston, Nestle
	D7	"The Automation Synergy", Neves and Noivo, Portugal
	D8	"Integration of Hand-Written Address Interpretation Technology into the United States Postal Service Remote Computer Reader System", Srihari (CEDAR, SUNY at Buffalo) and Kueberg (U.S. Postal Service, VA)
	D9	"Paper Based Document Security - A Review", van Renesse, TNO Institute of Applied Physics, The Netherlands
	D10	"IEEE Standard for Bar Coding for Distribution Transformers" Transformers Committee of the IEEE Power Engineering Society, The Institute of Electrical and Electronics Engineers, Inc. NY
	D11	"The Stylus™-Shopping from Home", STYLUS Innovation, MA
	D12	"Distributing Uniform Resource Locators as Bar Code Images", IBM Technical Disclosure Bulleting, Jan 1996
	D13	"Bar Code Method for Automating Catalog Orders", IBM Technical Disclosure Bulletin, September 1998
	D14	"Bar-Code Recognition System Using Image Processing", Kuroki, Yoneoka et al., Hitachi Research Laborator
EXAMINER		DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		